

03/12/01

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
69099/2000**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Koji ASAHI  
 corresponding to Japanese application No. 2000-069099, filed  
 March 13, 2001,  
**entitled:** OPTICAL CROSS-CONNECT APPARATUS, AND ITS SIGNAL MONI-  
 TORING METHOD

## Enclosed are:

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 55 pages of specification.   |
| <input checked="" type="checkbox"/> | 19 sheets of formal drawings.  |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.  |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet.   |
| <input checked="" type="checkbox"/> | Information Disclosure Statement with Form PTO-1449.   |
| <input checked="" type="checkbox"/> | copies of the Information Disclosure Statement citations.  |
| <input type="checkbox"/>            | preliminary amendment.   |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.   |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.  |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document.  |
| <input checked="" type="checkbox"/> | other: Application Data Sheet.   |

JC918 U.S. PTO  
 09/803095  
 03/12/01

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466.   |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.  |

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(continued)Docket No.  
69099/2000**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	24 - 20 =	4	x\$ 18	72
INDEPENDENT CLAIMS	7 - 3 =	4	x\$ 80	320
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	

**TOTAL** \$1102If applicant claims small entity status under  
37 CFR 1.27, then divide total fee by 2, and  
enter amount here.**SMALL ENTITY  
TOTAL**

\$

- ☒ A check in the amount of \$1142 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over-  
payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as  
described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17, during the  
pendency of this application.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of  
Allowance.

Benoit Castel  
Registration No. 35,041  
745 South 23rd Street  
Arlington, VA 22202  
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March 12, 2001